

Our Reference: VTE-138-B PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jeff Moler et al.

Serial Number:

10/613,138

Filing Date:

July 3, 2003

Examiner/Art Group Unit:

Unknown/2834

Title:

TEMPERATURE COMPENSATING FOR A

MECHANICALLY LEVERAGED SMART

MATERIAL ACTUATOR

## **CERTIFICATE OF MAILING AND TRANSMITTAL LETTER**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted with this document is a Postcard; Supplemental Information Disclosure Statement; Form PTO-1449; copy of International Search Report; and 6 cited references in the above-identified application.

X No additional fee is required.

X Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account Number 25-0115.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on **November 17, 2003**.

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The references listed in the attached form PTO-1449 are cited pursuant to Rule 37 C.F.R. 1.56 to meet the duty to disclose to the Patent Office all information known to the inventor, attorney or any other person who is substantively involved in the preparation or prosecution of the application or who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in § 1.56(c), a copy of the translation accompanies this Statement.

Pursuant to 37 C.F.R. § 1.97(c) and (e)(1) this Information Disclosure Statement includes the following Certification that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Statement.

If applicable, copies of the International Search Report and any foreign patent office Search Report are enclosed for the Examiner's use, and these reports indicate the relevance of the cited references according to the respective search authorities.

These references constitute all the information of which the individuals pursuant to 37 C.F.R. § 1.56(c) are currently aware.

A copy of each cited reference is enclosed.

Respectfully submitted,

YOUNG, BASILE, HANLON, MacFARLANE, WOOD & HELMHOLDT, P.C.

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Dated: November 17, 2003 TDH/sem

Sheet 1 of 1

FORM PTO-1449 LIST OF REFERENCES CITED BY APPLICANT				ATTY, DOCKET NO. VTE-138-B		SERIAL NO. 10/613,138	
				APPLICANT Moler et al.			
				FILING DATE 07/03/2003		GROUP	
			U. S. I	PATENT DOCUMENT			
EXAMINER INITIALS		PATENT NO.	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE
	AA	5,323,948	June 28, 1994	Yamazaki Nobuto et al.			
	АВ	5,388,751	Feb 14, 1995	Harada Kouichi et al.			
	AC	5,746,422	May 5, 1998	Harada Kouichi et al.			
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	AK						
		FC	REIGN PATENT OR	PUBLISHED PATENT APPLICATION	DN .		
		DOCUMENT NO.	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AL	W00179731A	Oct 25, 2001	РСТ			Yes
	AM						
	AN						
	AO						
	AP						
		OTHER RE	FERENCES (including	ng Author, Title, Date, Pertinent Pa	ages, etc.)	1	
	AR	SALIM R ET AL: "KLEINSTE OBJEKTE IM GRIFF A GRIP ON EVEN THE SMALLEST OBJECTS" F&M FEINWERKTECHNIC MIKROTECHNIC MIKROELEKTRONIK, CARL HANSWER GMBH & CO, DE, vol. 104, no. 9, 1 September 1996, pages 637-640, XP000636588					
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EXAMINER				DATE CONSIDERED			
				citation is in conformance with M	PEP 609;	Draw line thr	ough citation if